## **Regulatory Disclosure**

ADV Partners Investment Adviser India Private Limited is a SEBI registered Investment Adviser with registration no.: CIN U74999MH2013PTC242756 (non-individual). Pursuant to SEBI's requirement for Investment Advisers to disclose complaint status on the homepage, please note the following Investor Complaints Data:

## Data for the month ending November 2025

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolutio n time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	N.A.
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	N.A.
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	N.A.
	Grand Total	Nil	Nil	Nil	Nil	Nil	N.A.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	December 2024	Nil	Nil	Nil	Nil
2	January 2025	Nil	Nil	Nil	Nil
3	February 2025	Nil	Nil	Nil	Nil
4	March 2025	Nil	Nil	Nil	Nil
5	April 2025	Nil	Nil	Nil	Nil
6	May 2025	Nil	Nil	Nil	Nil
7	June 2025	Nil	Nil	Nil	Nil
8	July 2025	Nil	Nil	Nil	Nil
9	August 2025	Nil	Nil	Nil	Nil
10	September 2025	Nil	Nil	Nil	Nil
11	October 2025	Nil	Nil	Nil	Nil
12	November 2025	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

## Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil
3	2022-23	Nil	Nil	Nil	Nil
4	2023-24	Nil	Nil	Nil	Nil
5	2024-25	Nil	Nil	Nil	Nil
6	2025-26	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous years resolved in the current year.
# Inclusive of complaints pending as on the last day of the year.