Regulatory Disclosure

ADV Partners Investment Adviser India Private Limited is a SEBI registered Investment Adviser with registration no.: CIN U74999MH2013PTC242756 (non-individual). Pursuant to SEBI's requirement for Investment Advisers to disclose complaint status on the homepage, please note the following Investor Complaints Data:

Data for the month ending October 2026

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	N.A.
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	N.A.
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	N.A.
	Grand Total	Nil	Nil	Nil	Nil	Nil	N.A.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November 2025	Nil	Nil	Nil	Nil
2	December 2025	Nil	Nil	Nil	Nil
3	January 2026	Nil	Nil	Nil	Nil
4	February 2026	Nil	Nil	Nil	Nil
5	March 2026	Nil	Nil	Nil	Nil
6	April 2026	Nil	Nil	Nil	Nil
7	May 2026	Nil	Nil	Nil	Nil
8	June 2026	Nil	Nil	Nil	Nil
9	July 2026	Nil	Nil	Nil	Nil
10	August 2026	Nil	Nil	Nil	Nil
11	September 2026	Nil	Nil	Nil	Nil
12	October 2026	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil
3	2022-23	Nil	Nil	Nil	Nil
4	2023-24	Nil	Nil	Nil	Nil
5	2024-25	Nil	Nil	Nil	Nil
6	2025-26	Nil	Nil	Nil	Nil
7	2026-27	Nil	Nil	Nil	Nil
	Grand Total	**Nil**	**Nil**	**Nil**	**Nil**

* Inclusive of complaints of previous years resolved in the current year.
# Inclusive of complaints pending as on the last day of the year.

Escalation Matrix

Details of designation	Contact Person Name	Address where the physical address location	Contact No.	Email-ID	Working hours when complainant can call
Customer Care	NA	1602, 16th Floor, One Lodha Place, Senapati Bapat Marg, Lower Parel, Mumbai 400013, Maharashtra, India	NA	NA	NA
Head of Customer Care	NA		NA	NA	NA
Compliance Officer	Ashwini Patil		+91 22 6833 1000	finance-mumbai@advpartners.com	Monday to Friday: 09:00 to 18:00
CEO	NA		NA	NA	NA
Principal Officer	Nilay Doshi		+91 22 6833 1000	finance-mumbai@advpartners.com	Monday to Friday: 09:00 to 18:00

(note): The Investment Adviser (IA) has only one client whom is under the same group structure.